

## Audit Committee – Action Plan

Item 9.0

(Updated following meeting held on 22<sup>nd</sup> June 2015)

Minute No. /Agenda No.	Actions	Timeline	Action Owner
<b>Finance</b>			
AN4.1 23.03.15	<b><u>Budget Update</u></b> Audit Committee to receive a report highlighting how the Committee can add value, on behalf of the Council, in setting the Budget.		CS
AN5.2 22.06.15	<b><u>Draft Statement of Accounts 2014-15 (including AGS)</u></b> Paragraph 149 of the AGS to be amended to reflect the Committee's concerns about the ability of Internal Audit to provide effective assurance, if resources fall below an acceptable level.	Completed	DJK
22.06.15	Audit Committee to be sent the July Cabinet report on the Budget Strategy 2016-17.	Completed 23.07.15	DJK
<b>Governance &amp; Risk Management</b>			
MN59 23.03.15	<b><u>Senior Management Assurance Statement 2015/16</u></b> That Section 9 of the SMAS is to be reviewed to clarify the role of Directors in relation to having controls in place to reduce the incidence of fraud.	16.09.15	DJK
AN6.3 22.06.15	Audit Committee requested a SMAS be completed and outcome reported back to Committee.	December 15	DJK
AN6.3 22.06.15	That the Committee be provided with a report on the responses to the question on PPDRs contained in the Employee Survey and on the outcome of the survey.	December 15	DJK
22.06.15	<b><u>Audit Committee Annual Report 2014/15</u></b> The Audit & Risk Manager to contact Ian Arundale to request his attendance at the Full Council meeting to present the Committee's Annual Report.	24.09.15 Completed	Chair
AN6.5 22.06.15	<b><u>Procurement &amp; Contracting Sub Group</u></b> That relevant officers should attend the Audit Committee meeting in September to discuss Member concerns on the Building Maintenance Framework, and the progress of the action group in implementing the recommendations from the Constructing Excellence review.	16.09.15	Chair/DJK
<b>Items of Interest for Members</b>			
AN6.1 22.06.15	<b><u>Items of Interest for Members</u></b> That a Value for Money audit be considered in respect of the way in which schools employ supply teachers.	Ongoing	DJK
AN6.1 22.06.15	That Committee members should receive a copy of the July Cabinet report, titled, Alternative Delivery Models (ADM).	Completed 23.07.15	DJK
<b>Wales Audit Office (WAO)</b>			
MN37 08.12.14	<b><u>Organisational Development Plan / WAO Assessment</u></b> Wales Audit Office to report updates in relation to the ongoing Improvement work at each meeting and advise on when the follow-up to the Corporate Assessment exercise will be undertaken.	Quarterly / ongoing	WAO

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22.06.15	The Committee receive a full update in September 2015, and this will include an officer view on progress made.	16.09.15	CS
AN6.7 22.06.15	Audit Committee to receive a copy of the July Cabinet report "An Update on the Organisational Development Programme" by the CEO.	Completed 23.07.15	DJK
22.06.15	WAO to provide updates on progress made on their work relating to their original Corporate Assessment at each Audit Committee meeting and present their final report once prepared.	On-going	WAO
22.06.15	WAO to provide an executive summary of their reports and be circulated as part of the Agenda papers.	On-going	WAO
AN6.1 23.03.15	<b>Annual Financial Audit Outline</b> All 'Value for Money' reports published by the WAO to be reported to Audit Committee. The outcome of National Studies, with key messages, to be relayed to the Committee.  The Committee be provided with further information / intelligence on how the fee of the WAO's work is formulated e.g. benchmarking / comparisons with other local authorities.	When available  March '16	WAO/DJK  WAO
<b>Treasury Management</b>			
<b>Internal Audit</b>			
AN8.2 19.01.15	<b>Value for Money</b> Committee requested a further briefing on Agency Spend to identify further potential savings. This report should also consider further savings in relation to stand-by allowances where spend was considered high.	January 2016	DJK
MN09 22.06.15	<b>Core Cities</b> The Committee be provided with a report on the Core Cities Group.	December 15	DJK
MN09 22.06.15	<b>Assurance Mapping</b> Further information to be provided later in the year.	December 15	DJK
<b>Outstanding Actions</b>			
AN10.2 22.06.15	<b>Education – Governance</b> The Director of Education to provide a further briefing on school balances.	March '16	NB
AN10.2 22.06.15	<b>Director Strategic Planning &amp; Highways – Response to Internal Audit Report</b> That the Committee receives a further progress report in six months.	January 2016	DJK